

# A Uniform Asymptotic Formula for Orthogonal Polynomials Associated with $\exp(-x^4)^*$

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Let  $p_n(x)$  denote the orthogonal polynomials associated with the Freud weight  $\exp(-x^4)$ ,  $x \in \mathbb{R}$ . Let  $x = (4n/3)^{1/4} w$ . An asymptotic approximation is constructed for  $p_n(x)$ , which holds uniformly for  $-1 + \varepsilon \leq w \leq M$ , where  $0 < \varepsilon < 1$  and  $1 < M < \infty$ . This approximation involves the Airy function and its derivative, and it includes the two asymptotic formulas previously obtained by P. Nevai. Also presented is a four-term asymptotic expansion for the zeros of  $p_n(x)$ . © 1999 Academic Press

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## 1. INTRODUCTION

In [6], Nevai has studied the asymptotic behavior of the orthogonal polynomials

$$p_n(x) = \gamma_n x^n + \dots, \quad \gamma_n > 0,$$

associated with the weight function  $\exp(-x^4)$ . Here, the interval of orthogonality is the real line  $\mathbb{R}$ . These polynomials satisfy the recurrence relation

$$xp_n(x) = a_{n+1} p_{n+1}(x) + a_n p_{n-1}(x), \quad n = 0, 1, \dots, \quad (1.1)$$

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with  $p_0(x) = \gamma_0 > 0$  and  $p_1(x) = \gamma_0 x/a_1$ . The coefficients  $a_n$  are determined successively from the equation

$$n = 4a_n^2(a_{n+1}^2 + a_n^2 + a_{n-1}^2), \quad n = 1, 2, \dots, \quad (1.2)$$

where  $a_0^2 = 0$  and  $a_1^2 = \Gamma(\frac{3}{4})/\Gamma(\frac{1}{4})$ . A two-term asymptotic expansion for  $a_n$  has been given by Lew and Quarles [2]. They showed that

$$a_n^2 = \left(\frac{n}{12}\right)^{1/2} \left[ 1 + \frac{1}{24n^2} + O\left(\frac{1}{n^4}\right) \right], \quad n \rightarrow \infty. \quad (1.3)$$

If we let

$$\phi_n(x) = a_{n+1}^2 + a_n^2 + x^2, \quad (1.4)$$

then Shohat [9] and Nevai [5] independently showed that the function

$$z(x) = p_n(x)[\phi_n(x)]^{-1/2} \exp\left(-\frac{x^4}{2}\right) \quad (1.5)$$

satisfies the differential equation

$$z'' + f(n, x)z = 0, \quad (1.6)$$

where

$$\begin{aligned} f(n, x) = & 4a_n^2[4\phi_n(x)\phi_{n-1}(x) + 1 - 4a_n^2x^2 - 4x^4 - 2x^2\phi_n(x)^{-1}] \\ & - 4x^6 - 4x^4\phi_n(x)^{-1} - 3x^2\phi_n(x)^{-2} + 6x^2 + \phi_n(x)^{-1}. \end{aligned} \quad (1.7)$$

Based on the differential equation (1.6), Nevai [5] first obtained the asymptotic formula

$$\begin{aligned} & \exp\left(-\frac{x^4}{2}\right) p_n(x) \\ & = An^{-1/8} \sin \left\{ \left(\frac{64}{27}\right)^{1/4} n^{3/4}x + (12)^{-1/4} n^{1/4}x^3 - (n-1)\frac{\pi}{2} \right\} + o(n^{-1/8}), \end{aligned} \quad (1.8)$$

holding uniformly for  $x$  in any finite interval, where  $A$  is a positive constant. To improve this result, Nevai [6] next considered the problem of deriving a Plancherel–Rotach type asymptotic formula for these polynomials  $p_n(x)$ .

More precisely, he showed that with  $x = (4n/3)^{1/4} \cos \theta$  the asymptotic formula

$$p_n(x) \exp\left(-\frac{x^4}{2}\right) = 12^{1/8} \pi^{-1/2} n^{-1/8} (\sin \theta)^{-1/2} \\ \times \cos \left[ \frac{n}{12} (12\theta - 4 \sin 2\theta - \sin 4\theta) + \frac{\theta}{2} - \frac{\pi}{4} \right] + O(n^{-9/8}) \quad (1.9)$$

holds uniformly for  $\varepsilon \leq \theta \leq \pi - \varepsilon$

Note that the validity of formula (1.9) requires  $\theta$  to be bounded away from 0 and  $\pi$ . In [3, p. 216], Lubuisky has obtained asymptotic formulas which hold uniformly for  $\theta$  in intervals of the form  $n^{-\delta} < \theta < \pi - n^{-\delta}$ ,  $\delta > 0$ . Even this extension excludes the possibility of allowing  $\theta = 0$  or  $\pi$ . The aim of this paper is to present an asymptotic formula which is uniformly valid in an interval containing the critical value  $\theta = 0$ . (It will become evident from our discussion that a corresponding result can be obtained for the case  $\theta = \pi$  by using symmetry.) Our anticipated result corresponds to the classical Hilb formula for the Legendre polynomials [10, p. 197] or Erdélyi's asymptotic forms for the Laguerre polynomials [1].

As an application of our result, we shall also derive an asymptotic expansion for the large zeros of  $p_n(x)$ . Let us denote the zeros by  $x_{n,n} < \dots < x_{n,2} < x_{n,1}$ . Máté, Nevai, and Totik [4] have already shown that

$$x_{n,1} = \left(\frac{4n}{3}\right)^{1/4} - \frac{i_1}{3 \cdot 2^{1/3}} \left(\frac{4n}{3}\right)^{-5/12} + o(n^{-5/12}), \quad (1.10)$$

where  $i_1$  is the smallest positive zero of Airy's function  $A(x)$  defined in [10, p. 18, 377]. The result that we shall prove is

$$x_{n,k} = \left(\frac{4n}{3}\right)^{1/4} + \frac{\tilde{a}_k}{18^{1/3}} \left(\frac{4n}{3}\right)^{-5/12} + \frac{1}{6} \left(\frac{4n}{3}\right)^{-9/12} \\ - \frac{19\tilde{a}_k^2}{90 \cdot 2^{2/3} \cdot 3^{1/3}} \left(\frac{4n}{3}\right)^{-13/12} + O(n^{-17/12}), \quad (1.11)$$

for each fixed  $k$ , where  $\tilde{a}_k$  is the  $k$ th negative zero of the usual Airy integral  $Ai(x)$  defined in [8, pp. 53, 403]. In view of the relationship  $\tilde{a}_1 = -i_1/3^{1/3}$ , (1.11) agrees with (1.10). Here we have used  $\tilde{a}_k$ , instead of  $a_k$ , to denote the zeros of  $Ai(x)$ , in order not to confuse with the coefficients in the recurrence relation (1.1). Note the (1.11) is not uniformly valid with respect to  $k$ .

## 2. TRANSFORMATION TO CANONICAL FORM

Recall that formula (1.9) holds for  $0 < \theta < \pi$  but fails to hold when  $\theta = 0$ . Thus,  $x = (4n/3)^{1/4}$  is a critical value for the validity of (1.9). Also note that (1.10)–(1.11) suggests that for sufficiently large values of  $n$ , all zeros of  $p_n(x)$  lie in the interval  $|x| < (4n/3)^{1/4}$ . Based on these observations, we make the change of variable

$$x = \lambda w \quad \text{with} \quad \lambda = \left(\frac{4n}{3}\right)^{1/4}. \quad (2.1)$$

Equation (1.6) then becomes

$$\frac{d^2 z}{dw^2} + q(\lambda, w) z = 0, \quad (2.2)$$

where

$$q(\lambda, w) = \lambda^2 f(n, \lambda w). \quad (2.3)$$

It is easily seen that the only singularities of  $f(n, x)$  are the zeros of  $\phi_n(x)$ , which occur at  $x = \pm i \sqrt{a_{n+1}^2 + a_n^2} \approx \pm i(n/3)^{1/4}$ . Hence,  $q(\lambda, w)$  is analytic for  $w$  on the real axis.

LEMMA. As  $\lambda \rightarrow \infty$ ,  $q(\lambda, w)$  has the asymptotic expansion

$$q(\lambda, w) = \lambda^8 \left[ q_0(w) + \frac{q_4(w)}{\lambda^4} + \frac{q_8(w)}{\lambda^8} + \dots \right] \quad (2.4)$$

which holds uniformly with respect to bounded  $w$ , where  $q_0(w) = (1 + 3w^2 - 4w^6)$ ,  $q_4(w) = (1 + 2w^2)$  and

$$q_8(w) = \frac{20w^4 - 64w^2 + 17}{9(1 + 2w^2)^2}.$$

*Proof.* Substitute (1.4) in (1.7) to give

$$\begin{aligned} f(n, \lambda w) = & 4a_n^2 \left\{ 4(a_{n+1}^2 + a_n^2)(a_n^2 + a_{n-1}^2) + 4\lambda^2 w^2 (a_{n+1}^2 + a_n^2 + a_{n-1}^2) + 1 \right. \\ & \left. - \frac{2\lambda^2 w^2}{a_{n+1}^2 + a_n^2 + \lambda^2 w^2} \right\} - 4\lambda^6 w^6 - \frac{4\lambda^4 w^4}{a_{n+1}^2 + a_n^2 + \lambda^2 w^2} \\ & - \frac{3\lambda^2 w^2}{(a_{n+1}^2 + a_n^2 + \lambda^2 w^2)^2} + 6\lambda^2 w^2 + \frac{1}{a_{n+1}^2 + a_n^2 - n + \lambda^2 w^2}. \end{aligned}$$

From (1.3), we have

$$(a_{n+1}^2 + a_n^2)(a_n^2 + a_{n-1}^2) = \frac{\lambda^4}{4} \left[ 1 - \frac{5}{27} \frac{1}{\lambda^8} + O\left(\frac{1}{\lambda^{16}}\right) \right],$$

$$a_{n+1}^2 + a_n^2 + a_{n-1}^2 = \frac{3}{4} \lambda^2 \left[ 1 - \frac{2}{27} \frac{1}{\lambda^8} + O\left(\frac{1}{\lambda^{16}}\right) \right],$$

and

$$a_{n+1}^2 + a_n^2 + \lambda^2 w^2 = \frac{\lambda^2}{2} \left[ (1 + 2w^2) + \frac{1}{3} \frac{1}{\lambda^4} - \frac{1}{27} \frac{1}{\lambda^8} + O\left(\frac{1}{\lambda^{16}}\right) \right].$$

A combination of the last four equations leads to

$$f(n, \lambda w) = (1 + 3w^2 - 4w^6) \lambda^6 + (1 + 2w^2) \lambda^2$$

$$+ \frac{20w^4 - 64w^2 + 17}{9(1 + 2w^2)^2} \frac{1}{\lambda^2} + O\left(\frac{1}{\lambda^6}\right),$$

where the  $O$ -term is uniform with respect to all bounded  $w$ . On account of (2.3), this establishes the lemma. ■

Let us now rewrite (2.2) and (2.3) in the form

$$\frac{d^2 z}{dw^2} = A^2 \left[ \bar{q}_0(w) + \frac{\bar{q}_1(w)}{A} + \frac{\bar{q}_2(w)}{A^2} + \dots \right] z, \quad (2.5)$$

where  $A = \lambda^4 = 4n/3$ ,  $\bar{q}_0(w) = -q_0(w) = (4w^6 - 3w^2 - 1) = (2w^2 + 1)^2 (w^2 - 1)$ ,  $\bar{q}_1(w) = -q_4(w) = -(1 + 2w^2)$  and

$$\bar{q}_2(w) = -q_8(w) = -\frac{20w^4 - 64w^2 + 17}{9(1 + 2w^2)^2}.$$

Since  $\bar{q}_0(w)$  vanishes at  $w = \pm 1$  and the other coefficient functions  $\bar{q}_s(w)$  are analytic there, we have exactly the extended form of the turning point problem discussed in [8, p. 426]. From the recurrence relation (1.1), one can readily verify by induction that  $p_n(x)$  satisfies the reflection formula

$$p_n(x) = (-1)^n p_n(-x).$$

Thus we need consider only the turning point  $w = +1$ . As suggested in [8, p. 426], we make the Liouville–Green transformations

$$\zeta = \left\{ \frac{3}{2} \int_1^w \bar{q}_0^{1/2}(t) dt \right\}^{2/3}, \quad Z = \left( \frac{d\zeta}{dw} \right)^{1/2} z = \hat{q}^{1/4}(w) z, \quad (2.6)$$

where

$$\hat{q}(w) = \frac{\bar{q}_0(w)}{\zeta} = \frac{(2w^2 + 1)^2 (w^2 - 1)}{\zeta}. \quad (2.7)$$

Evaluation of the integral in (2.6) gives

$$\zeta(w) = \begin{cases} -\left[\frac{9}{8} \cos^{-1} w - \frac{3}{8} w(2w^2 + 1) \sqrt{1 - w^2}\right]^{2/3}, & -1 < w < 1 \\ \left[\frac{3}{8} w(2w^2 + 1) \sqrt{w^2 - 1} - \frac{9}{8} \cosh^{-1} w\right]^{2/3}, & w \geq 1. \end{cases} \quad (2.8)$$

It can be shown that the quantities inside the square brackets in (2.8) are positive. Equation (2.5) then becomes

$$\frac{d^2 Z}{d\zeta^2} = \{A^2 \zeta + A\eta(\zeta) + \psi(A, \zeta)\} Z, \quad (2.9)$$

with

$$\eta(\zeta) = \frac{\bar{q}_1(w)}{\hat{q}(w)} = \frac{-\zeta}{(2w^2 + 1)(w^2 - 1)} \quad (2.10)$$

and

$$\psi(A, \zeta) \sim \sum_{s=0}^{\infty} \frac{\psi_s(\zeta)}{A^s}. \quad (2.11)$$

By applying l'Hôpital's rule to (2.10), it can be shown that  $\eta(0) = -3^{-1/3} 2^{-2/3}$ ; cf. (2.6). Simple calculation also gives

$$\psi_0(\zeta) = \frac{\bar{q}_2(w)}{\hat{q}(w)} - \frac{1}{\hat{q}^{3/4}(w)} \frac{d^2}{dw^2} \left\{ \frac{1}{\hat{q}^{1/4}(w)} \right\}$$

and  $\psi_s(\zeta) = \bar{q}_{s+2}(w)/\hat{q}(w)$ . Since  $\zeta(w)$ ,  $\bar{q}_s(w)$  and  $1/\hat{q}(w)$  are all analytic in a region containing the real axis in the  $w$ -plane, the functions  $\eta(\zeta)$  and  $\psi_s(\zeta)$  are analytic on the real line. In [8, p. 427], Olver has shown that (2.9) is formally satisfied by the series

$$Z_1(A, \zeta) \sim Ai \left( A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}} \right) \sum_{s=0}^{\infty} \frac{A_s(\zeta)}{A^s} + \frac{1}{A^{4/3}} Ai' \left( A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}} \right) \sum_{s=0}^{\infty} \frac{B_s(\zeta)}{A^s}, \quad (2.12)$$

where

$$H(\zeta) = \frac{1}{2\zeta^{1/2}} \int_0^\zeta \frac{\eta(v)}{v^{1/2}} dv \quad (2.13)$$

and the coefficients  $A_s$ , and  $B_s$  satisfy the recurrence relations

$$\begin{aligned}
 B_s + 2\zeta B'_s &= \psi_0 A_s + \psi_1 A_{s-1} + \cdots \\
 &\quad + \psi_s A_0 - (2HH' + \zeta H'^2) A_s - A''_s - HH'^2 A_{s-1} \\
 &\quad - (2H' + \zeta H'') B_{s-1} - 2(\zeta H' + H) B'_{s-1} \\
 &\quad - (HH'' + H'^2) B_{s-2} - 2HH' B'_{s-2}
 \end{aligned} \tag{2.14}$$

and

$$\begin{aligned}
 2A'_{s+1} &= -H'' A_s - 2H' A'_s + \psi_0 B_{s-1} + \psi_1 B_{s-2} + \cdots + \psi_{s-1} B_0 \\
 &\quad - (2HH' + \zeta H'^2) B_{s-1} - B''_{s-1} - HH'^2 B_{s-2},
 \end{aligned} \tag{2.15}$$

with  $A_0(\zeta) = 1$  and

$$B_0(\zeta) = \frac{1}{2\zeta^{1/2}} \int_0^\zeta \{ \psi_0(v) - 2H(v) H'(v) - vH'^2(v) \} \frac{dv}{v^{1/2}}.$$

Note that by inserting (2.10) in (2.13) and using (2.6), it can be proved that

$$H(\zeta) = \begin{cases} \frac{-1}{2\zeta^{1/2}} \cosh^{-1} w, & w \geq 1 \\ \frac{-1}{2(-\zeta)^{1/2}} \cos^{-1} w, & |w| < 1 \end{cases} \tag{2.16}$$

Also note that by applying l'Hôpital's rule directly to (2.13), it can be verified that

$$H(0) = \frac{-1}{3^{1/3} 2^{2/3}} \quad \text{and} \quad H'(0) = \frac{1}{15}. \tag{2.17}$$

Since  $2\zeta H'(\zeta) + H(\zeta) = \eta(\zeta)$  by (2.13), it follows from (2.15)

$$\begin{aligned}
 A_1(\zeta) &= -\frac{1}{2} \int_0^\zeta H''(\zeta) d\zeta = -\frac{1}{2} [H'(\zeta) - H'(0)] \\
 &= -\frac{1}{2} \left\{ \frac{\eta(\zeta) - H(\zeta)}{2\zeta} - \frac{1}{15} \right\}.
 \end{aligned}$$

Olver [8, p. 428] has also briefly indicated how to establish the asymptotic nature of the formal expansion (2.12). Along the lines he has suggested, we have obtained an analogue of Theorem 7.1 in [8, p.410]. Here we shall be content with just the special case of a one-term approximation. Before stating the result, we first recall the modulus function  $M(x)$  and the weight

function  $E(x)$  associated with the Airy functions  $Ai(x)$  and  $Bi(x)$ ; cf. [8, p. 395]. Let  $x = c$  denote the negative root of the equation

$$Ai(x) = Bi(x)$$

of smallest absolute value. Numerical calculation has shown that  $c = -0.36605$ , correct to five decimal places. Define  $E(x) = 1$  for  $-\infty < x \leq c$ ,

$$E(x) = \{Bi(x)/Ai(x)\}^{1/2}, \quad c \leq x < \infty,$$

and

$$M(x) = \{E^2(x) Ai^2(x) + E^{-2}(x) Bi^2(x)\}^{1/2},$$

where  $E^{-1}(x) = 1/E(x)$ . Next we introduce the error control function

$$\Phi_0(A, \zeta) = \int_0^\zeta \left| \xi + \frac{H(\xi)}{A} \right|^{-1/2} d\xi. \tag{2.18}$$

It is readily seen that this integral is convergent.

**THEOREM 1.** *Equation (2.9) has a pair of infinitely differentiable solution  $Z_1(A, \zeta)$  and  $Z_2(A, \zeta)$ , given by*

$$Z_1(A, \zeta) = \left\{ Ai \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) + \varepsilon_1(A, \zeta) \right\}, \tag{2.19}$$

$$Z_2(A, \zeta) = \left\{ Bi \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) + \varepsilon_2(A, \zeta) \right\}, \tag{2.20}$$

For sufficiently large value of  $A$ , the error terms satisfy

$$\begin{aligned} |\varepsilon_1(A, \zeta)|/M \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right), \quad & |\partial\varepsilon_1(A, \zeta)/\partial\zeta|/A^{2/3}N \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \\ \leq \frac{K}{A} E^{-1} \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \exp \left\{ \frac{K_0}{A} \mathcal{V}_{\zeta, \zeta(M)}(|\zeta|^{1/2} B_0(\zeta)) \right\} & \mathcal{V}_{\zeta, \zeta(M)}(\Phi_0(A, \zeta)) \end{aligned} \tag{2.21}$$

and

$$\begin{aligned} |\varepsilon_2(A, \zeta)|/M \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right), \quad & |\partial\varepsilon_2(A, \zeta)/\partial\zeta|/A^{2/3}N \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \\ \leq \frac{K}{A} E \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \exp \left\{ \frac{K_0}{A} \mathcal{V}_{\zeta(-1+\varepsilon), \zeta}(|\zeta|^{1/2} B_0(\zeta)) \right\} & \\ \times \mathcal{V}_{\zeta(-1+\varepsilon), \zeta}(\Phi_0(A, \zeta)) & \end{aligned} \tag{2.22}$$



where  $K$  and  $K_0$  are positive constants,  $\mathcal{V}_{a,b}(f)$  denotes the total variation of a function  $f(x)$  on an interval  $(a, b)$ , and  $\zeta = \zeta(w)$  is the function given in (2.8).

The total variation of  $f(x)$  on  $[a, b]$  is defined by

$$\mathcal{V}_{a,b}(f) = \sup \sum_{i=1}^k |f(x_i) - f(x_{i-1})|,$$

where the supremum is taken over all possible subdivisions of the interval  $[a, b]$ . If  $f(x)$  is continuously differentiable in  $[a, b]$ , then we also have

$$\mathcal{V}_{a,b}(f) = \int_a^b |f'(x)| dx;$$

see [8, pp. 27–28].

In view of asymptotic forms

$$E(x) \sim 2^{1/2} \exp\left(\frac{2}{3} x^{3/2}\right), \quad M(x) \sim \pi^{-1/2} x^{-1/4} \quad (x \rightarrow +\infty)$$

and

$$M(x) \sim \pi^{-1/2} (-x)^{-1/4} \quad (x \rightarrow -\infty),$$

we have

$$\varepsilon_1(A, \zeta) = O(A^{-1}), \quad (2.23)$$

as  $A \rightarrow \infty$ , uniformly with respect to  $\zeta$  in any bounded interval containing  $\zeta = 0$  and contained in  $(\zeta(-1), \infty)$ . When  $\zeta$  is bounded away from 0, we also have

$$\varepsilon_1(A, \zeta) = O(A^{-7/6}). \quad (2.24)$$

### 3. UNIFORM ASYMPTOTIC FORMULA FOR $p_n(x) \exp(-x^4/2)$

We first recall the asymptotic formulas

$$Ai(x) \sim \frac{1}{2\pi^{1/2} x^{1/4}} \exp\left(-\frac{2}{3} x^{3/2}\right)$$

and

$$Bi(x) \sim \frac{1}{\pi^{1/2} x^{1/4}} \exp\left(\frac{2}{3} s^{3/2}\right)$$

as  $x \rightarrow \infty$ . Since the function  $z(x) = p_n(x)[\phi_n(x)]^{-1/2} \exp(-x^4/2)$  in (1.5) is exponentially small as  $x \rightarrow \infty$ , by (2.6) there exists a constant  $C(n)$  such that

$$p_n(x)[\phi_n(x)]^{-1/2} \exp\left(-\frac{x^4}{2}\right) = C(n) \hat{q}^{-1/4}(w) Z_1(A, \zeta), \tag{3.1}$$

where  $Z_1(A, \zeta)$  is the asymptotic solution given in (2.12); cf. also (2.19). Next we need to find a formula for  $C(n)$  as  $n \rightarrow \infty$ . When  $x=0$ , we have  $w=0$  by (2.1) and  $\zeta = -(9\pi/16)^{2/3}$  by (2.8). Since  $A = 4n/3$ , it follows from (3.1), (2.19), and (1.4) that

$$\begin{aligned} & p_n(0)[a_{n+1}^2 + a_n^2]^{-1/2} \\ &= C(n) \hat{q}^{-1/4}(0) \left\{ Ai \left[ -\left(\frac{3\pi}{4}\right)^{2/3} n^{2/3} - \left(\frac{\pi}{4\sqrt{3}}\right)^{2/3} \frac{1}{n^{1/3}} \right] + O(n^{-7/6}) \right\}. \end{aligned} \tag{3.2}$$

Here we have also made use of (2.16) and (2.24).

Since  $p_n(x)$  satisfies the reflection formula mentioned in Section 2, it contains only odd powers of  $x$  when  $n$  is odd, and only even powers of  $x$  when  $n$  is even. Furthermore,  $p_{2k+1}(0) = 0$  and

$$p_{2k}(0) = (-1)^k \gamma_0 \frac{a_1 a_3 \cdots a_{2k-1}}{a_2 a_4 \cdots a_{2k}}. \tag{3.3}$$

Since the infinite products

$$\prod_{k=1}^{\infty} \frac{12a_{2k-1}^4}{2k-1} \quad \text{and} \quad \prod_{k=1}^{\infty} \frac{2k}{12a_{2k}^4}$$

are convergent, from (3.3) it can be proved that when  $n$  is even, there exists a constant  $A$  such that

$$p_n(0) = A \cos\left(\frac{n\pi}{2}\right) n^{-1/8} [1 + O(n^{-1})]; \tag{3.4}$$

see [6]. Recall the asymptotic formula [8, p. 392]

$$Ai(-x) = \frac{1}{\pi^{1/2}x^{1/4}} \left\{ \cos\left(\frac{2}{3}x^{3/2} - \frac{\pi}{4}\right) [1 + O(x^{-3})] + \sin\left(\frac{2}{3}x^{3/2} - \frac{\pi}{4}\right) \left[\frac{5}{48}x^{-3/2} + O(x^{-9/2})\right] \right\} \quad (3.5)$$

as  $x \rightarrow +\infty$ . Upon simplification, we obtain

$$Ai\left[-\left(\frac{3\pi}{4}\right)^{2/3} n^{2/3} - \left(\frac{\pi}{4\sqrt{3}}\right)^{2/3} \frac{1}{n^{1/3}}\right] = \left(\frac{3}{4}\right)^{-1/6} \pi^{-2/3} (-1)^{n/2} n^{-1/6} [1 + O(n^{-1})]. \quad (3.6)$$

Thus, when  $n$  is an even integer, a combination of (3.2), (3.4), and (3.6) gives

$$C(n) = 3^{1/12} 2^{1/3} \pi^{1/2} A n^{-5/24} [1 + O(n^{-1})] \quad (3.7)$$

on account of (1.3) and (2.7). Note that  $\hat{q}(0) = (16/9\pi)^{2/3}$  and  $\cos(n\pi/2) = (-1)^{n/2}$ . Inserting (3.7) and (2.19) into (3.1) yields

$$p_n(x) [\phi_n(x)]^{-1/2} \exp\left(-\frac{x^4}{2}\right) = 3^{1/12} 2^{1/3} \pi^{1/2} A n^{-5/24} [1 + O(n^{-1})] \times \hat{q}^{-1/4}(w) \left\{ Ai\left(A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}}\right) + \varepsilon_1(A, \zeta) \right\}. \quad (3.8)$$

Since  $\phi_n^{1/2}(x) = (n/3)^{1/4} (1 + 2w^2)^{1/2} [1 + O(n^{-1})]$  by (1.3) and (2.1), (3.8) becomes

$$p_n(x) \exp\left(-\frac{x^4}{2}\right) = 3^{-1/6} 2^{1/3} \pi^{1/2} A (1 + 2w^2)^{1/2} n^{1/24} \times \hat{q}^{-1/4}(w) \left\{ Ai\left(A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}}\right) + O(n^{-1}) \right\} \quad (3.9)$$

by virtue of (2.23), uniformly for  $\zeta \in (\zeta(-1 + \varepsilon), \zeta(M))$  or, equivalently,  $-1 + \varepsilon < w < M$ . If  $\zeta$  is bounded away from 0, i.e.,  $w$  bounded away from 1, then we have even the better estimate

$$p_n(x) \exp\left(-\frac{x^4}{2}\right) = 3^{-1/6} 2^{1/3} \pi^{1/2} A (1 + 2w^2)^{1/2} n^{1/24} \times \hat{q}^{-1/4}(w) \left\{ Ai\left(A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}}\right) + O(n^{-7/6}) \right\} \quad (3.10)$$

on account of (2.24).

When  $n$  is an odd integer, from (3.1) we must have  $Z_1(A, \zeta(0)) = 0$  since  $p_n(0) = 0$ . Thus, the value of  $C(n)$  in this case can be determined from (3.1) by a limiting process. Put

$$C(n) = \lim_{x \rightarrow 0} \frac{p_n(x) [\phi_n(x)]^{-1/2} \exp(-x^4/2)}{\hat{q}^{-1/4}(w) Z_1(A, \zeta(w))}, \quad (3.11)$$

and observe that

$$\begin{aligned} \lim_{x \rightarrow 0} [\phi_n(x)]^{-1/2} \exp(-x^4/2) \hat{q}^{1/4}(w) &= (a_{n+1}^2 + a_n^2)^{-1/2} \left(\frac{16}{9\pi}\right)^{1/6} \\ &= 2^{2/3} \pi^{-1/6} 3^{-1/12} n^{-1/4} [1 + O(n^{-1})]. \end{aligned} \quad (3.12)$$

By l'Hôpital's rule,

$$\lim_{x \rightarrow 0} \frac{p_n(x)}{Z_1(A, \zeta(w))} = \lim_{x \rightarrow 0} \frac{p'_n(x)}{(dZ_1/d\zeta)(d\zeta/dw)(dw/dx)}. \quad (3.13)$$

Since

$$\left. \frac{dw}{dx} \right|_{x=0} = \left(\frac{4n}{3}\right)^{-1/4}, \quad (3.14)$$

$$\left. \frac{d\zeta}{dw} \right|_{w=0} = \left(\frac{16}{9\pi}\right)^{1/3} \quad (3.15)$$

and

$$\frac{dZ_1}{d\zeta} = Ai' \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \left( A^{2/3} + \frac{H'(\zeta)}{A^{1/3}} \right) + \frac{d\varepsilon_1}{d\zeta}, \quad (3.16)$$

from (3.13) we obtain

$$\lim_{x \rightarrow 0} \frac{p_n(x)}{Z_1(A, \zeta(w))} = (-1)^{-(n-1)/2} 2^{-11/6} 3^{11/12} \pi^{2/3} p'_n(0) n^{-7/12} [1 + O(n^{-1})], \quad (3.17)$$

where we have made use of the facts that  $C(0) = -(9\pi/16)^{2/3}$ ,  $A = 4n/3$  and

$$Ai'(-x) = \frac{x^{1/4}}{\pi^{1/2}} \sin\left(\frac{2}{3}x^{3/2} - \frac{\pi}{4}\right) + O(x^{-5/4}), \quad x \rightarrow \infty; \quad (3.18)$$

see [8, p. 392]. Inserting (3.12) and (3.17) into (3.11) gives

$$C(n) = (-1)^{-(n-1)/2} 2^{-7/6} 3^{5/6} \pi^{1/2} n^{-5/6} p'_n(0) [1 + O(n^{-1})].$$

Using (1.1), (1.2) and (1.4), it can be verified by induction that

$$p'_n(0) = 4a_n \phi_n(0) p_{n-1}(0);$$

see also [5, formula (12)]. Coupling the last two equations yields

$$C(n) = 3^{1/12} 2^{1/3} \pi^{1/2} A n^{-5/24} [1 + O(n^{-1})]$$

on account of (3.4), which is exactly the same as (3.7). Therefore,

$$C(n) \sim 3^{1/12} 2^{1/3} \pi^{1/2} A n^{-5/24}$$

for all  $n \geq 1$ , and the asymptotic formulas (3.9) and (3.10) hold for all  $n$ , whether  $n$  is even or odd.

The value of the constant  $A$  in (3.4) has already been given explicitly by Nevai [6, p. 1183]:

$$A^2 = 12^{1/4} / \pi. \quad (3.19)$$

However, by using the uniform asymptotic formula (3.9), a shorter proof can be provided.

**THEOREM 2.** *Let  $0 < \varepsilon < 1$  and  $0 < M < \infty$  be fixed, and let  $x = (4n/3)^{1/4} w$  and  $A = 4n/3$ . Then the asymptotic formula*

$$p_n(x) \exp\left(-\frac{x^4}{2}\right) = \sqrt{2} A^{1/24} \left(\frac{\zeta}{w^2 - 1}\right)^{1/4} \left\{ Ai\left(A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}}\right) + O(n^{-1}) \right\} \quad (3.20)$$

holds uniformly for  $-1 + \varepsilon \leq w \leq M$ , where  $\zeta$  and  $H(\zeta)$  are given in (2.8) and (2.16), respectively. Moreover, when  $-1 + \varepsilon \leq w \leq 1 - \varepsilon$ , we also have the uniform asymptotic formula

$$p_n(x) \exp\left(-\frac{x^4}{2}\right) = \sqrt{2} A^{1/24} \left(\frac{\zeta}{w^2 - 1}\right)^{1/4} \left\{ Ai\left(A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}}\right) + O(n^{-7/6}) \right\} \quad (3.21)$$

To see that Nevai's result (1.9) can be deduced from (3.21), we note from (3.5) that

$$\begin{aligned} p_n(x) \exp\left(-\frac{x^4}{2}\right) &= \frac{12^{1/8}}{\pi^{1/2}} n^{-1/8} \frac{1}{(1-w^2)^{1/4}} \\ &\quad \times \cos\left\{\frac{8}{9}(-\zeta)^{3/2}n - \sqrt{-\zeta}H(\zeta) - \frac{\pi}{4}\right\} + O(n^{-9/8}) \end{aligned} \quad (3.22)$$

for  $-1 + \varepsilon \leq w \leq 1 - \varepsilon$ . Let  $w = \cos \theta$ . Then from (3.22) we obtain

$$\begin{aligned} p_n(x) \exp\left(-\frac{x^4}{2}\right) &= 12^{1/8} \pi^{-1/2} n^{-1/8} (\sin \theta)^{-1/2} \\ &\quad \times \cos\left\{n\theta - \frac{n}{3} \cos \theta (2 \cos^2 \theta + 1) \sin \theta + \frac{\theta}{2} - \frac{\pi}{4}\right\} + O(n^{-9/8}) \end{aligned} \quad (3.23)$$

uniformly for  $\bar{\varepsilon} \leq \theta \leq \pi - \bar{\varepsilon}$ ,  $\bar{\varepsilon} > 0$ . In view of a trigonometric identity, it is readily seen that (3.23) agrees with (1.9).

#### 4. PROOF OF (1.11)

Let the zeros of  $p_n(x)$  be arranged in decreasing order:

$$-\infty < x_{n,n} < x_{n,n-1} < \cdots < x_{n,2} < x_{n,1} < \infty.$$

In view of the fact that

$$\lim_{w \rightarrow 1} \frac{\zeta}{w^2 - 1} = \left(\frac{3}{2}\right)^{2/3} \neq 0,$$

it follows from (3.20) (see also (3.8)) that  $x_{n,k}$  can be determined by the roots of the equation

$$Ai \left[ A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}} \right] + \varepsilon_1(A, \zeta) = 0, \quad (4.1)$$

where  $\varepsilon_1(A, \zeta)$  satisfies the estimates in (2.2). Let  $\tilde{a}_k$  denote the  $k$ th negative zero of the Airy integral  $Ai(x)$ , and let  $\zeta_{n,k}$  denote the  $k$ th root of equation (4.1). Since  $\varepsilon_1(A, \zeta) = O(n^{-1})$ , it is reasonable to expect that

$$\zeta_{n,k} \approx \zeta_n(\tilde{a}_k),$$

where  $\zeta_n(\tilde{a}_k)$  satisfies

$$\left( \frac{4n}{3} \right)^{2/3} \zeta_n(\tilde{a}_k) + \frac{H(\zeta_n(\tilde{a}_k))}{(4n/3)^{1/3}} = \tilde{a}_k. \quad (4.2)$$

since  $\zeta = \zeta(w)$  is finite on the interval  $-1 + \varepsilon \leq w \leq M$ ,  $H(\zeta)$  is bounded on  $\zeta(-1 + \varepsilon) \leq \zeta \leq \zeta(M)$ . From (4.2), it follows that  $\zeta_n(\tilde{a}_k)$  is negative when  $n$  sufficiently large.

In the following analysis, we suppose that  $A$  is large enough so that

$$\frac{K}{A} \mathcal{V}_{\zeta, \zeta(M)}(\Phi_0(A, \zeta)) \exp \left\{ \frac{K_0}{A} \mathcal{V}_{\zeta, \zeta(M)}(|\zeta|^{1/2} B_0) \right\} < \frac{1}{2}. \quad (4.3)$$

Also, we put

$$p_1(A, \zeta) = \varepsilon_1(A, \zeta) E \left[ A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}} \right] / M \left[ A^{2/3} \zeta + \frac{H(\zeta)}{A^{1/3}} \right] \quad (4.4)$$

and

$$\sigma_1(A, \zeta) = \frac{K}{A} \mathcal{V}_{\zeta, \zeta(M)}(\Phi_0(A, \zeta)) \exp \left\{ \frac{K_0}{A} \mathcal{V}_{\zeta, \zeta(M)}(|\zeta|^{1/2} B_0) \right\}. \quad (4.5)$$

From (2.21), we have  $|\rho_1(A, \zeta)| \leq \sigma_1(A, \zeta) < \frac{1}{2}$ . We shall next rewrite equation (4.1) in terms of the phase function  $\theta(x)$  defined by

$$E(x) Ai(x) = M(x) \sin \theta(x), \quad E^{-1}(x) Bi(x) = M(x) \cos \theta(x). \quad (4.6)$$

see [8, p. 394]. Note that

$$\theta(x) = \tan^{-1} \{ E^2(x) Ai(x) / Bi(x) \}.$$

and  $\theta(x) = \frac{1}{4}\pi$  for  $x \geq c$ ; cf. [8, p. 395]. Coupling (4.1) and (4.6), we obtain

$$\sin \theta \left[ A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right] = -\rho_1(A, \zeta). \quad (4.7)$$

Since the left-hand side equals  $1/\sqrt{2}$  when  $A^{2/3}\zeta + H(\zeta)A^{-1/3} \geq c$  while the right-hand side is less than  $1/2$ , there can be no roots to equation (4.1) in this range. Hence, for  $\zeta \geq 0$  and  $A$  sufficiently large, the function on the left-hand side of (4.1) has no zeros. Recall that  $\zeta \geq 0$  corresponds to  $w \geq 1$  by (2.8), and that  $w \geq 1$  corresponds to  $x \geq (4n/3)^{1/4}$  by (2.1). Therefore, in view of (3.20), the polynomial  $p_n(x)$  has no zero in  $x \geq (4n/3)^{1/4}$ . By symmetry,  $p_n(x)$  also has no zero in  $x \leq -(4n/3)^{1/4}$ . That is, all zeros of  $p_n(x)$  lie in the interval  $-(4n/3)^{1/4} < x < (4n/3)^{1/4}$ ; equivalently, all roots of equation (4.7) lie in the interval  $-(9\pi/8)^{2/3} < \zeta < 0$ . In this range, the trigonometric equation (4.7) can be written in the form

$$\theta \left[ A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right] - k\pi - (-1)^{k-1} \arcsin \{ \rho_1(A, \zeta) \} = 0. \quad (4.8)$$

Let  $b_k$  denote the  $k$ th negative zero of  $Bi(x)$ , and let  $\zeta_n(b_k)$  satisfy the equation

$$\left( \frac{4n}{3} \right)^{2/3} \zeta_n(b_k) + \frac{H(\zeta_n(b_k))}{(4n/3)^{1/3}} = b_k. \quad (4.9)$$

From the estimate

$$|\arcsin \rho_1(A, \zeta)| < \arcsin \frac{1}{2} = \frac{\pi}{6}$$

and the result [8, p. 404]

$$\theta(b_k) = (k - \frac{1}{2})\pi,$$

it is readily seen that the left-hand side of (4.8) is negative when  $\zeta = \zeta_n(b_k)$  and positive when  $\zeta = \zeta_n(b_{k+1})$ . Hence, in the range

$$b_{k+1} < A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} < b_k \quad (4.10)$$

or, equivalently,  $\zeta_n(b_{k+1}) < \zeta < \zeta_n(b_k)$ , Eq. (4.8) must have a root. [Note that  $A^{2/3}\zeta + A^{-1/3}H(\zeta)$  is monotonically increasing in  $\zeta$  for sufficiently large values of  $A$ .] Since the function on the left-hand side of (4.8) is decreasing in the interval  $\zeta_n(b_{k+1}) < \zeta < \zeta_n(b_k)$ , as we shall see later in the discussion,



Eq. (4.8) has only one root in this range. Let us now investigate the relationship between this zero and the  $k$ th zero of  $Ai(x)$ . By the mean-value theorem, we have

$$\theta \left[ A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right] = \theta(\tilde{a}_k) + \left[ A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} - \tilde{a}_k \right] \theta'(\xi),$$

where  $\xi \in (b_{k+1}, b_k)$ . Recall from [8, p. 404] that  $\theta(\tilde{a}_k) = k\pi$ . Hence, by (4.8).

$$A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} - \tilde{a}_k = (-1)^{k-1} \arcsin\{\rho_1(A, \zeta)\} / \theta'(\xi). \quad (4.11)$$

Using the inequalities  $|\rho_1(A, \zeta)| \leq \sigma_1(A, \zeta) < 1/2$  and  $\sin t > (3/\pi)t$  for  $0 < t < \pi/6$ , we obtain

$$\left| A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} - \tilde{a}_k \right| \leq \frac{\pi}{3} \sigma_1(A, \zeta) / |\theta'(\xi)|.$$

Since  $|\theta'(\xi)|$  is decreasing in  $\xi$  (see [8, p. 404]) and  $\sigma_1(A, \zeta)$  is decreasing in  $\zeta$ , it follows that

$$\left| A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} - \tilde{a}_k \right| \leq \alpha_k, \quad (4.12)$$

where

$$\alpha_k = \frac{\pi}{3} \sigma_1(A, \zeta_n(b_{k+1})) / |\theta'(b_k)|. \quad (4.13)$$

In view of the identity [8, p. 404]

$$\theta'(x) = 1/\{\pi M^2(x)\}, \quad (4.14)$$

equation (4.13) gives

$$\alpha_k = \frac{\pi^2}{3} M^2(b_k) \sigma_1(A, \zeta_n(b_{k+1})) = M^2(b_k) O\left(\frac{1}{n}\right); \quad (4.15)$$

cf. (4.5).

We now turn to the proof of the monotonicity of the function on the left-hand side of (4.8). By virtue of (4.14) and the asymptotic formula [8, p. 395]

$$M(x) \sim \pi^{-1/2} x^{-1/4} \quad (x \rightarrow +\infty),$$

$\theta'(x)$  is strictly negative for all  $x > 0$ . Thus, to prove that the derivative of the function on the left-hand side of (4.8) is strictly less than zero, it suffices to show that

$$\left\{1 - \rho_1^2(A, \zeta)\right\}^{-1/2} \left| \frac{d}{d\zeta} \rho_1(A, \zeta) \right| < \left[ A^{2/3} + \frac{H'(\zeta)}{A^{1/3}} \right] \left| \theta' \left( A^{2/3}\zeta + \frac{H(\zeta)}{A^{1/3}} \right) \right|. \tag{4.16}$$

Also since  $E(x) = 1$  for  $x \leq c$ , on account of (4.4) we have

$$\begin{aligned} \frac{d}{d\zeta} \rho_1(A, \zeta) &= \frac{1}{M[A^{2/3}\zeta + A^{-1/3}H(\zeta)]} \frac{d}{d\zeta} \varepsilon_1(A, \zeta) \\ &\quad - \frac{M'[A^{2/3}\zeta + A^{-1/3}H(\zeta)]}{M^2[A^{2/3}\zeta + A^{-1/3}H(\zeta)]} [A^{2/3} + A^{-1/3}H'(\zeta)] \varepsilon_1(A, \zeta). \end{aligned}$$

From (2.21), it follows that

$$\begin{aligned} &\left\{1 - \rho_1^2(A, \zeta)\right\}^{-1/2} \left| \frac{d}{d\zeta} \rho_1(A, \zeta) \right| \\ &\leq \frac{|A^{2/3} + A^{-1/3}H'(\zeta)|}{\{1 - \sigma_1^2(A, \zeta)\}^{1/2}} \sigma_1(A, \zeta) \\ &\quad \times \frac{N[A^{2/3}\zeta + A^{-1/3}H(\zeta)] + M'[A^{2/3}\zeta + A^{-1/3}H(\zeta)]}{M[A^{2/3}\zeta + A^{-1/3}H(\zeta)]}. \end{aligned} \tag{4.17}$$

Here we have also made use of the fact that  $H'(\zeta) > 0$  and hence  $A^{2/3} < A^{2/3} + A^{-1/3}H'(\zeta)$ . Coupling (4.17) and (4.14), it is evident that (4.16) holds if

$$\frac{\sigma_1(A, \zeta)}{\{1 - \sigma_1^2(A, \zeta)\}^{1/2}} < \frac{1}{\left[ \frac{\pi M[A^{2/3}\zeta + A^{-1/3}H(\zeta)] \{N[A^{2/3}\zeta + A^{-1/3}H(\zeta)]\}}{+ M'[A^{2/3}\zeta + A^{-1/3}H(\zeta)]} \right]}. \tag{4.18}$$

The left-hand side of (4.18) is less than  $1/\sqrt{3} = 0.577\dots$ , since  $\sigma_1(A, \zeta) < \frac{1}{2}$ . The right-hand side of (4.18) is decreasing function of  $[A^{2/3}\zeta + A^{-1/3}H(\zeta)]$  by Lemma 5.1 in [8, p. 404]. When  $A^{2/3}\zeta + A^{-1/3}H(\zeta) = c$ , its value is

$$\frac{1}{\pi Ai(c) \{ Ai'(c) + Bi'(c) + \sqrt{2Ai'^2(c) + 2Bi'^2(c)} \}} = 0.708\dots$$

Therefore, (4.18) and (4.16) are satisfied, and equation (4.8) has only one root in the interval (4.10) or, equivalently, in the interval  $\zeta_n(b_{k+1}) < \zeta < \zeta_n(b_k)$ . (Much of the above argument is patterned after that given in [8, pp. 406–407].)

Let  $x_{n,k}$  denote the  $k$ th zero of  $p_n(x)$ , counted from right to left. Let  $\zeta_{n,k}$  and  $w_{n,k}$  denote the corresponding values determined by (2.1) and (2.8), respectively. Since a root of equation (4.1) is also a root of Eq. 4.8),  $\zeta_{n,k}$  satisfies  $\zeta_n(b_{k+1}) < \zeta_{n,k} < \zeta_n(b_k)$ , or more accurately

$$\tilde{a}_k - \alpha_k < \Lambda^{2/3} \zeta_{n,k} + \frac{H(\zeta_{n,k})}{\Lambda^{1/3}} < \tilde{a}_k + \alpha_k$$

on account of (4.12). Therefore

$$\Lambda^{2/3} \zeta_{n,k} + \frac{H(\zeta_{n,k})}{\Lambda^{1/3}} = \tilde{a}_k + O\left(\frac{1}{n}\right); \quad (4.19)$$

see (4.15). Since  $H(\zeta_{n,k})$  is bounded for all  $n$  and  $k$ , we have the preliminary approximation  $\zeta_{n,k} = \tilde{a}_k \Lambda^{-2/3} + O(n^{-1})$ . By the mean-value theorem,  $H(\zeta_{n,k}) = H(0) + O(n^{-2/3}) = -3^{-1/3} 2^{-2/3} + O(n^{-2/3})$ . Substituting this into (4.19) gives

$$\zeta_{n,k} = \tilde{a}_k \Lambda^{-2/3} + 3^{-1/3} 2^{-2/3} \Lambda^{-1} + O(n^{-5/3}). \quad (4.20)$$

Let  $\Omega(\zeta)$  denote the inverse of the function  $\zeta(w)$  so that  $\zeta = \zeta(w)$  if and only if  $w = \Omega(\zeta)$ . Since  $\zeta_{n,k} = \zeta(w_{n,k})$  and  $x_{n,k} = (4n/3)^{1/4} w_{n,k}$ , from (4.20) we derive

$$x_{n,k} = \left(\frac{4n}{3}\right)^{1/4} \Omega \left\{ \left[ \tilde{a}_k \left(\frac{4n}{3}\right)^{-2/3} + 3^{-1/3} 2^{-2/3} \left(\frac{4n}{3}\right)^{-1} \right] + O(n^{-5/3}) \right\}.$$

By the mean-value theorem again, we obtain

$$x_{n,k} = \left(\frac{4n}{3}\right)^{1/4} \Omega \left\{ \tilde{a}_k \left(\frac{4n}{3}\right)^{-2/3} + 3^{-1/3} 2^{-2/3} \left(\frac{4n}{3}\right)^{-1} \right\} + O(n^{-17/12}). \quad (4.21)$$

To proceed further, we expand  $\Omega(\zeta)$  into the Maclaurin series

$$\Omega(\zeta) = \Omega(0) + \Omega'(0)\zeta + \frac{1}{2}\Omega''(0)\zeta^2 + \dots \quad (4.22)$$

Since  $\zeta(1) = 0$ , we have  $\Omega(0) = 1$ . Differentiating with respect to  $\zeta$  on both sides of the first equation in (2.6) yields

$$\frac{dw}{d\zeta} = \bar{q}_0^{-1/2}(w) \left\{ \frac{3}{2} \int_1^w \bar{q}_0^{1/2}(t) dt \right\}^{1/3} = \frac{\zeta^{1/2}}{\bar{q}_0^{1/2}(w)}.$$

Using l'Hôpital's rule, it can be shown that

$$\Omega'(0) = \left. \frac{dw}{d\zeta} \right|_{\zeta=0} = [\bar{q}'_0(1)]^{-1/3}.$$

In a similar manner, it can be verified that

$$\Omega''(0) = \left. \frac{d^2w}{d\zeta^2} \right|_{\zeta=0} = -\frac{1}{5} \frac{\bar{q}''_0(1)}{[\bar{q}'_0(1)]^{5/3}}.$$

Simple computation gives  $\bar{q}'_0(1) = 18$  and  $\bar{q}''_0(1) = 114$ . Hence

$$\Omega'(0) = \frac{1}{18^{1/3}} \quad \text{and} \quad \Omega''(0) = -\frac{1}{5} \frac{114}{18^{5/3}}.$$

Applying (4.22) to (4.21) leads to

$$\begin{aligned} x_{n,k} &= \left(\frac{4n}{3}\right)^{1/4} + \frac{1}{18^{1/3}} \left[ \tilde{a}_k \left(\frac{4n}{3}\right)^{-2/3} + 3^{-1/3} 2^{-2/3} \left(\frac{4n}{3}\right)^{-1} \right] \left(\frac{4n}{3}\right)^{1/4} \\ &\quad - \frac{1}{10} \frac{114}{18^{5/3}} \left[ \tilde{a}_k \left(\frac{4n}{3}\right)^{-2/3} + 3^{-1/3} 2^{-2/3} \left(\frac{4n}{3}\right)^{-1} \right]^2 \left(\frac{4n}{3}\right)^{1/4} + O(n^{-17/12}). \end{aligned}$$

To summarize, we have the following result.

**THEOREM 3.** *Let the zeros of the polynomial  $p_n(x)$  in (1.1) be enumerated in decreasing order:  $-\infty < x_{n,n} < \dots < x_{n,2} < x_{n,1} < \infty$ . For each positive zero  $x_{n,k}$ , we have*

$$\begin{aligned} x_{n,k} &= \left(\frac{4n}{3}\right)^{1/4} + \frac{\tilde{a}_k}{18^{1/3}} \left(\frac{4n}{3}\right)^{-5/12} + \frac{1}{6} \left(\frac{4n}{3}\right)^{-9/12} \\ &\quad - \frac{19\tilde{a}_k^2}{90 \cdot 2^{2/3} \cdot 3^{1/3}} \left(\frac{4n}{3}\right)^{-13/12} + O(n^{-17/12}), \end{aligned}$$

where  $\tilde{a}_k$  is the  $k$ th negative zero of the Airy function  $Ai(x)$  and the  $O$ -symbol depends on  $k$ .

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